

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Jc803 U.S. PTO

03/28/01

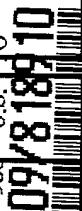
Attorney Docket No. 205368US2

First Inventor or Application Identifier Harutaka GOTO

Title DATA PROCESSING APPARATUS AND DATA PROCESSING METHOD

Assignee Name: KABUSHIKI KAISHA TOSHIBA

Assignee Address: 72, HORIKAWA-CHO, SAIWAI-KU, KAWASAKI-SHI, KANAGAWA-KEN, JAPAN



03/28/01

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1.  Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Specification Total Sheets **17**

3.  Drawing(s) (35 U.S.C. 113) Total Sheets **13**  
**(Formals)**

4.  Oath or Declaration Total Pages **3**

- a.  Newly executed (original)

- b.  Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation / divisional w/ box 17 completed)

- i.  **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in  
the prior application, see 37 C.F.R. §1.63(d)(2) and  
1.33(b).

5.  CD-ROM or CD-R in duplicate, large table or Computer  
Program (*Appendix*)

6.  Nucleotide and/or Amino Acid Sequence Submission  
(*if applicable, all necessary*)

- a.  Computer Readable Form (CRF)

- b. Specification or Sequence Listing on:

- i.  CD-ROM or CD-R (2 copies); or

- ii.  Paper

- c.  Statements verifying identity of above copies

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & document(s))  
8.  Application Data Sheet. See 37 CFR 1.76  
9.  37 C.F.R. §3.73(b) Statement *(when there is an assignee)*  Power of Attorney  
10.  English Translation Document (*if applicable*)  
11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations (1)  
12.  Preliminary Amendment  
13.  White Advance Serial No. Postcard  
14.  Certified Copy of Priority Document(s) (1)  
*(if foreign priority is claimed)*  
15.  Applicant claims small entity status.  
*See 37 CFR 1.27*  
16.  Other: Notice of Priority, Statement of Relevancy

**17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:**

- Continuation  Divisional  Continuation-in-part (CIP) of prior application no.:

Group Art Unit:

Prior application information: Examiner:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. Amend the specification by inserting before the first line the sentence:**

- This application is a  Continuation  Division  Continuation-in-part (CIP)  
of application Serial No. Filed on

- Which was published in English

- Which was not published in English

- This application claims priority of provisional application Serial No.

Filed

**19. CORRESPONDENCE ADDRESS**



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| Signature: |                  | Date:             | 3/28/01 |
| Name:      |                  | Registration No.: |         |

Docket No. 205368US2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR(S) Harutaka GOTO

SERIAL NO: New Application

FILING DATE: Herewith

FOR: DATA PROCESSING APPARATUS AND DATA PROCESSING METHOD

**FEE TRANSMITTAL**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

| FOR  | NUMBER FILED | NUMBER EXTRA | RATE                        | CALCULATIONS |
|--|--------------|--------------|-----------------------------|--------------|
| TOTAL CLAIMS   | 15 - 20 =    | 0            | × \$18 =                    | \$0.00       |
| INDEPENDENT CLAIMS   | 2 - 3 =      | 0            | × \$80 =                    | \$0.00       |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)   |              |              | + \$270 =                   | \$0.00       |
| <input type="checkbox"/> LATE FILING OF DECLARATION                  |              |              | + \$130 =                   | \$0.00       |
|  |              |              | BASIC FEE                   | \$710.00     |
|  |              |              | TOTAL OF ABOVE CALCULATIONS | \$710.00     |
| <input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY |              |              |                             | \$0.00       |
| <input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE              |              |              | + \$130 =                   | \$0.00       |
| <input checked="" type="checkbox"/> RECORDATION OF ASSIGNMENT        |              |              | + \$40 =                    | \$40.00      |
|  |              |              | TOTAL                       | \$750.00     |

Please charge Deposit Account No. 15-0030 in the amount of

A duplicate copy of this sheet is enclosed.

A check in the amount of \$750.00 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.  
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Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Marvin J. Spivak  
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Date: 3/28/01



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